

1 Hal Cunningham
2 SCOTT+SCOTT, ATTORNEYS AT LAW, LLP
3 707 Broadway, Suite 1000
4 San Diego, California 92101
5 Tel.: (619) 233-4565
6 Fax: (619) 233-0508
7 Email: hcunningham@scott-scott.com

8 Thomas L. Laughlin IV
9 Deborah Clark-Weintraub
10 SCOTT+SCOTT, ATTORNEYS AT LAW, LLP
11 The Chrysler Building
12 405 Lexington Avenue, 40th Floor
13 New York, NY 10174
14 Tel: (212) 223-6444
15 Fax: (212) 223-6334
16 Email: tlaughlin@scott-scott.com
17 dweintraub@scott-scott.com

18 *Counsel for Lead Plaintiffs*
19 [Additional counsel on signature page.]

20 UNITED STATES DISTRICT COURT
21 NORTHERN DISTRICT OF CALIFORNIA
22 OAKLAND DIVISION

23 WILLARD A. SHARRETTE, DAVID
24 GOLDMAN, and ESTA GOLDMAN,
25 Individually and on Behalf of All Others
26 Similarly Situated,

27 Plaintiffs,

28 v.

29 CREDIT SUISSE INTERNATIONAL, a
30 foreign company; CREDIT SUISSE
31 SECURITIES (USA) LLC, a Delaware
32 limited liability company; and DOES 1-100,

33 Defendants.

Case No. 4:13-cv-02783-SBA

**STIPULATION AND ORDER TO
TRANSFER ACTION TO THE
SOUTHERN DISTRICT OF NEW
YORK PURSUANT TO 28 U.S.C.
§1404(a)**

Hon. Sandra Brown Armstrong

34 STIPULATION AND ~~PROPOSED~~ ORDER TO TRANSFER ACTION TO THE SOUTHERN
35 DISTRICT OF NEW YORK PURSUANT TO 28 U.S.C. §1404(a)

1 Pursuant to Civil Local Rule 7-12, Plaintiffs hereby submit this Stipulation and
2 [Proposed] Order to Transfer this Action to the Southern District of New York Pursuant to 28
3 U.S.C. §1404(a).

4 WHEREAS, on June 17, 2013, the above-captioned action was filed with this Court (ECF
5 No. 1);

6 WHEREAS, on December 5, 2013, this Court appointed Lead Plaintiff and Lead Counsel
7 in this Action (ECF No. 43);

8 WHEREAS, on February 3, 2014, Plaintiffs filed a Consolidated Amended Complaint
9 (ECF No. 48);

10 WHEREAS, between April 4, 2014 and July 10, 2014, the Parties to this action fully
11 briefed Defendants' Motion to Dismiss (*see* ECF Nos. 53-55, 58, and 65);

12 WHEREAS, on October 15, 2014, this Court entered an Order to Show Cause as to why
13 this case should not be transferred to the United States District Court for the Southern District of
14 New York (ECF No. 68);

15 WHEREAS, in its Order to Show Cause, the Court directed that "[a]lternatively, the
16 parties may file a stipulation requesting that this case be transferred to the Southern District of
17 New York under § 1404(a)"; and

18 WHEREAS, the parties have conferred and agree that this case should be transferred to
19 the Southern District of New York;

20 THEREFORE, IT IS HEREBY STIPULATED AND AGREED by all parties through
21 their respective counsel of record, that:

22 1. Pursuant to 28 U.S.C. §1404(a), this action shall be transferred to the United
23 States District Court for the Southern District of New York.

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25 STIPULATED AND AGREED TO:

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28 STIPULATION AND ~~PROPOSED~~ ORDER TO TRANSFER ACTION TO THE SOUTHERN
DISTRICT OF NEW YORK PURSUANT TO 28 U.S.C. §1404(a)

1 DATED: October 21, 2014

SCOTT+SCOTT, Attorneys at Law, LLP

2 By: /s/ Thomas L. Laughlin IV

3 Thomas L. Laughlin IV

4 Deborah-Clark Weintraub

SCOTT+SCOTT, Attorneys at Law, LLP

The Chrysler Building

405 Lexington Avenue, 40th Floor

5 New York, NY 10174

6 Tel: (212) 223-6444

7 Fax: (212) 223-6334

Email: tlaughlin@scott-scott.com

dweintraub@scott-scott.com

9 Stephen J. Teti

SCOTT+SCOTT, ATTORNEYS AT LAW, LLP

10 156 South Main Street

11 P.O. Box 192

Colchester, CT 06415

12 Tel: (860) 537-5537

Fax: (860) 537-4432

13 Email: steti@scott-scott.com

14 Hal Cunningham

SCOTT+SCOTT, ATTORNEYS AT LAW, LLP

15 707 Broadway, Suite 1000

San Diego, California 92101

16 Tel.: (619) 233-4565

17 Fax: (619) 233-0508

Email: hcunningham@scott-scott.com

18 LEWIS & ROBERTS, PLLC

19 Gary V. Mauney

James A. Robert III

20 One Southpark Center

6060 Piedmont Row Drive South, Suite 140

21 Charlotte, NC 28287

22 Tel: (704) 347-8990

Fax: (704) 347-8929

23 Email: garymauney@lewis-roberts.com

JimRoberts@lewis-roberts.com

24 *Counsel for Lead Plaintiffs*

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26
27
28 STIPULATION AND ~~PROPOSED~~ ORDER TO TRANSFER ACTION TO THE SOUTHERN
DISTRICT OF NEW YORK PURSUANT TO 28 U.S.C. §1404(a)

4:13-cv-02783-SBA

1 DATED: October 21, 2014

LATHAM & WATKINS LLP

2 By: /s/ Allison S. Davidson

3 Allison S. Davidson (267964)

4 Patrick E. Gibbs (183174)

5 140 Scott Drive

6 Menlo Park, California 94025

7 Tel: (650) 470-4600

8 Fax: (415) 534-3200


9 Email: patrick.gibbs@lw.com

allison.davidson@lw.com

10 *Counsel for Credit Suisse International and Credit*
11 *Suisse Securities (USA) LLC*

12 PURSUANT TO THIS STIPULATION, IT IS SO ORDERED

13 DATED: October 21, 2014

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15 HON. SAUNDRA BROWN ARMSTRONG
16 UNITED STATES DISTRICT JUDGE
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28 STIPULATION AND ~~PROPOSED~~ ORDER TO TRANSFER ACTION TO THE SOUTHERN
DISTRICT OF NEW YORK PURSUANT TO 28 U.S.C. §1404(a)

CERTIFICATE OF SERVICE

I hereby certify that on October 21, 2014, I caused the foregoing to be electronically filed with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the email addresses denoted on the Electronic Mail Notice List.

I certify under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed this 21st day of October, 2014 at New York, New York.

/s/ Thomas L. Laughlin
Thomas L. Laughlin
SCOTT+SCOTT, Attorneys at Law, LLP
The Chrysler Building
405 Lexington Avenue, 40th Floor
New York, NY 10174
Tel: (212) 223-6444
Fax: (212) 223-6334
Email: tlaughlin@scott-scott.com